S&H Form: PTO/SB/05 (9/99)

1538.1009/JDH First Named Inventor or Application Identifier:

## **UTILITY** PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.

Yutaka YAMANAKA, et al.

Attorney Docket

<b>4</b> 1		APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231							
Ĺ.	[X]	Fee Transmittal Form									
2.	[X]	Specification, Claims & Abstract [ Total Pages: 16]									
3.	[X]	Drawing(s) (35 USC 113) [ Total Sheets: <u>5</u> ]									
4.	[X ]	Oath or Declaration									
5.	[]	Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.									
6.	[]	Microfiche Computer Program (Appendix)									
7.	[]	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. [] Computer Readable Copy b. [] Paper Copy (identical to computer copy) c. [] Statement verifying identity of above copies									
		ACCOMPANYING AP	PLICATION PAI	RTS							
8.	[X]	Assignment Papers (cover sheet & document(s))									
	[]										
	[]	English Translation Document (if applicable)	[ ] Tower or Auton								
**	[]	Information Disclosure Statement (IDS)/PTO-1449[ ] Copie:	s of IDS Citations								
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	• •	Return Receipt Postcard (MPEP 503) (Should be specifically	ritemized)								
		Small Entity Statement(s) [ ] Statement filed in prior app	•	proper and desired.							
	• •	Certified Copy of Priority Document(s) (if foreign priority is cla		<b></b>							
		Other:	•								
17		CONTINUING APPLICATION, check appropriate box a									
	[ ]	Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of p	orior application No.								
18	. co	RRESPONDENCE ADDRESS									

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								S&H Form (10/00				
		Attorney Docket No. 38.1009/JDH										
		LICATIO!  SMITTA	Application Number		To be assigned							
			Filing Date		January 31, 2001							
AMOUNT ENCLOSED \$750.00				First Named Inventor		Yutaka YAMANAKA, et al.						
FEE CALCULATION (fees effective 10/01/00)												
CLAIMS		(1) FOR		R FILED	(3) NUMBER EXT	RA (4)	RATE	(5) CALCULATIONS				
•	TOTAL C	TOTAL CLAIMS		- 20 =	0	X \$ 18	3.00 =	\$ 0.00				
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				BASIC FILING I			FEE	710.00				
					Total of above Calculations			\$ 710.00				
	Surcharg	Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)						+				
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).							-					
				TOTAL FILING FEE =			\$ 710.00					
	Surcharg	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))										
Recordation of Assignment (\$40.00; 37					l(h)(1))	40.00						
		TOTAL FEES DUE =						\$ 750.00				
METHOD OF PAYMENT												
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[X] The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.												
SUBMITTED BY: STAAS & HALSEY LLP												
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Signature						Date		ary 31, 2001				

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